

BOARD REPORT SUMMARY

Date of Meeting: 30 th September 2015		<input type="checkbox"/> Private	<input checked="" type="checkbox"/> Public
Board Report No.	15/09/08	<input type="checkbox"/> For Approval <input type="checkbox"/> For Endorsement/Decision <input checked="" type="checkbox"/> For Discussion <input type="checkbox"/> For Information	
Subject: Board Assurance Framework			
Director Responsible:		Author:	
David James Board Secretary		David James Board Secretary	
<p>Summary:</p> <p>The Board Assurance Framework (BAF) is required to identify the principal risks to the delivery of the Trust's strategic aims and the mitigations put in place to address these risks.</p> <p>The London North West Healthcare NHS Trust (LNWHT) Board Assurance Framework (BAF) was last reviewed by the Board in March 2015.</p> <p>This paper presents the current position in respect of the Board Assurance Framework (BAF). Executive Directors were invited to update the contents of the BAF in August and early September 2015.</p> <p>The significant updates are highlighted in Red and dated (where appropriate) to show change over time in terms of risk, mitigation and achievement. Where the banding of the risk has changed this is shown in the last column of the BAF with an arrow depicting a rise, fall or no change.</p> <p>Monitoring Committees have been amended in line with the new Board Assurance structure agreed at the August 2015 Board.</p> <p><u>The objectives that have seen an increase in the Target Risk are:</u></p> <p>4.1 We will produce and deliver a financial strategy that builds on the opportunities arising from the merger to provide patient services more effectively and efficiently and improve patient experience and safety.</p> <p>4.2 We will deliver our operational financial plan and our agreed saving plans for 2015/16.</p> <p>4.4 We will produce a cash balance profile and case for DoH to secure levels of PDC to</p>			

support the Trust in meeting its financial obligations.

In the case of: 4.5 We will monitor and manage our Capital programme in line with the agreed Capital Resource Limit. The target risk score has increased but not the overall RAG rating.

All other target risk scores are all unchanged.

Objective 5.2 We will deliver a safe transition to a merged organisation by gaining support for merger with all stakeholders and implementing appropriate leadership and governance structures has now been closed post-merger and with the introduction of the new Board Assurance structure.

Recommendations

The Board is asked to note the updated BAF report.

Board Assurance Framework Reference(s):

N/A

Financial Implications (specify any additional costs or loss of income and how this will be resourced):

There may be identification of resource / financial implications to address specific risk issues.

Risk Issues (including legal implications, reference to Assurance Framework and Risk Register):

The BAF and associated documentation are part of the process by which the Trust manages its risks.

Communication & Consultation Issues (including patient and public involvement):

Specific risks may be subject to consultation and discussion with appropriate individuals, groups or committees within the Trust.

Workforce Issues (including training and education implications):

There may be workforce issues linked with specific risks

How this Policy/Proposal Recognises Equality Legislation:

N/A

Has an Equality Impact Assessment been carried out on this issue or proposal?

Yes

No
 Not applicable

What impact will this have on the wider health economy, patients and the public?

N/A

Paper respects the rights, values and commitments within the NHS Constitution.

Yes
 No